## 1 CITY OF SANTA FE, NEW MEXICO 2 ORDINANCE NO. 2007-11 3 5 AN ORDINANCE AMENDING ARTICLE 6-16 SFCC 1987 REGARDING THE ETHICS-AND CAMPAIGN 6 7 REVIEW BOARD AND AMENDING ARTICLE 9-2 SFCC 1987 REGARDING THE 8 CAMPAIGN CODE. 9 10 BE IT ORDAINED BY THE GOVERNING BODY OF THE CITY OF SANTA FE: 11 Section 1. Section 6-16.1 SFCC 1987 (being Ord. No. 2005-14, §17) is amended 12 to read; 13 6-16.1 Ethics and Campaign Review Board. 14 Creation. There is created an "ethics and campaign review board" ("the board"). A. 15 B. Appointment. 16 The board shall consist of nine (9) members. Each member of the (1) governing body shall appoint one (1) member. Every May following a regular municipal 17 18 election, the board shall be reappointed for a two year term and for 2007 the board shall 19 be reappointed by the governing body in May to fill unexpired terms until May of 2008. 20 (2) Members shall be qualified by training and experience and have the 21 ability to exercise sound and practical judgment regarding those powers and duties of the 22 committee. 23 Due to the legal aspects of the board's work, at all times at least two (2) (3) of the nine (9) members shall be attorneys licensed to practice in the state of New Mexico 24 25 for no less than five (5) years. If the nominations of the governing body do not include at

- B. The city attorney, or a qualified attorney designated by the city attorney, may file a complaint with the board on the basis of information referred by the board or obtained otherwise, upon their independent determination that probable cause exists of a violation of the code of ethics or the campaign code.
- C. To promote public participation, and to preserve the Board's transparency, credibility, and stature, all final Board Determinations, including Board decisions on complaints, advisory opinions, and settlements, shall be made during public meetings in compliance with the Open Meetings Act. No complaint shall be dismissed without a prior evidentiary hearing or prior board discussion in a public meeting of the reasons for the determination.
- D. If the board determines that the complaint is legally sufficient, was filed timely and is not frivolous, and that the board has jurisdiction to adjudicate the complaint, the board shall at the same meeting set a schedule for hearing the complaint. The board shall conduct a public hearing regarding the complaint in accordance with due process of law. The chair of the board has authority to order appropriate discovery and issue subpoenas for the attendance of witnesses or the production of documents. Any decision of the chair with respect to discovery or with respect to the issuance of subpoenas shall be reviewable by the board upon request of any affected person. The board may seek enforcement of administrative subpoenas or discovery requests in district court.
- E. The city clerk may require electronic posting of all documents generated pursuant to the Code of Ethics or the Campaign Code in an accessible and searchable format, including the proceedings of the ethics and campaign review board.
  - Section 4. Section 6-16.7 SFCC 1987 (being Ord. #2005-14) is amended to read: 6-16.7 Sanctions.
- A. To encourage compliance and deter campaign code violations, the city clerk shall assess such fines as provided for in Section 9-2.10 SFCC 1987 and Section 9-2.15 SFCC 1987.

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Continuing committee means a political committee which is an organization of

- (1) Individual contributor means an individual who makes a contribution from their personal assets which are not those of a business, corporation, partnership, political committee.
- (2) Business contributor means an individual who uses the assets of their business, corporation, partnership, political committee or PAC as a contribution or any business, corporation, partnership, political committee or PAC which makes a contribution.
- K. Election means any regular or special city election.
- L. Election period means the fifty-six (56) days before a municipal election is held.
- M. Expenditure means a payment or transfer of anything of value in exchange for goods, services, property, facilities or anything of value for the purpose of assisting, benefiting or honoring any public official or candidate, or assisting in furthering or opposing any election campaign for a candidate or ballot proposition. This includes contributions, subscriptions, distributions, loans, advances, deposits, or gifts of money or anything of value, and includes a contract, a promise or agreement, whether or not legally enforceable, to make an expenditure. The term "expenditure" also means the transfer of funds or anything of value between political committees. In determining the dollar value of an expenditure, only that proportion of a payment or transfer of anything of value that is directly related to the campaign shall be considered an expenditure.
  - N. Political committee means any entity formed for the principal purpose of:
  - (1) Raising or collecting, and expending or contributing money or anything of value for supporting the election or defeat of any identifiable candidates or for supporting the approval or defeat of ballot propositions; or
  - (2) Coordinating or cooperating in efforts to support the election or defeat of any identifiable candidates or of supporting the approval or defeat of any ballot

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Section 6. Section 9-2.5 SFCC 1987 (being Ord. No. 2005-14) is amended to read:

Identification of Campaign Material. Campaign materials disseminated or communicated by a candidate or by a political committee within sixty (60) days before a municipal election shall conspicuously identify the name of the candidate and/or campaign treasurer or deputy campaign treasurer, or the name of an officer or other responsible person of the political committee sponsoring such materials. Written, printed or posted materials shall also show a telephone contact number.

Section 7. Section 9-2.6 SFCC 1987 (being Ord. No. 2005-14) is amended to read:

9-2.6 Independently Sponsored Campaign Materials. Any person or entity that contracts for or initiates the dissemination of campaign materials supporting the election or defeat of an identifiable candidate or of a ballot proposition, and that spends in the aggregate \$250.00 or more for such purpose shall thereafter, on each of the days prescribed for the filing of campaign finance statements of political committees, file with the city clerk a report of all expenditures made and all contributions of twenty-five dollars \$25.00 or more received for such\_purpose on or before the date of the report and which have not been previously reported. Each report shall be submitted on a form prescribed by the city clerk. Contributions shall be specified by date, amount of contribution, name, address and occupation of the person or entity from whom the contribution was made. Expenditures shall be specified by date, the amount of the expenditure, the name and address of the person or entity where an expenditure was made and the purpose of the expenditure.

Section 9-2.7 SFCC 1987 (being Ord. #1998-41, §5, as amended) is Section 8. amended to read:

### 9-2.7 Statement of Political Committee Organization.

- A. Every political committee shall file a statement of organization with the city clerk within ten (10) days of the earlier of the following, but in no event later than the date on which a political committee contracts for or initiates the dissemination of any campaign materials:
  - (1) Its organization; or
  - (2) The date on which it receives or has information which causes it to anticipate that it will receive contributions or will make expenditures in any election campaign.
  - B. The statement of organization shall include but not be limited to:
  - (1) The name, street address, city, county, state, zip code and telephone number of the political committee;
  - (2) The full name, street address, city, county, state and telephone number of each firm, association, partnership, business trust, corporation, company, committee, and other organization or group of individuals with which the political committee is affiliated or connected or with which it coordinated or cooperated in its efforts to support the election or defeat of any identifiable candidate;
  - (3) The names, addresses and titles of its officers; or if it has no officers, the names, addresses and titles of its responsible leaders;
  - (4) The full names, addresses and telephone number (home and business) of its campaign treasurer or deputy campaign treasurer and campaign depository;
  - (5) A statement as to whether the political committee is a continuing committee;
  - (6) The full name, addresses and telephone number (home and work) of each candidate whom the political committee is supporting or opposing;
    - (7) The ballot proposition concerned, if any, and whether the political

deputy campaign treasurer in office. A candidate is deemed to be their own campaign treasurer or deputy campaign treasurer until or unless they appoint another person to that office. No expenditure shall be made by or on behalf of a candidate or political committee without the authorization of the treasurer or deputy campaign treasurer.

# Section 10. Section 9-2.9 SFCC 1987 (being Ord. #1998-41, §7, as amended) is amended to read:

#### 9-2.9 Campaign Treasurer; Deputy Campaign Treasurer; Duties.

- A. The campaign treasurer or deputy campaign treasurer shall keep a true and full record of contributions and expenditures. The record of contributions and expenditures required to be kept under the terms of the Campaign Code shall include, but not be limited to:
  - (1) The full name and complete mailing address of every individual contributor of an amount of twenty-five dollars (\$25.00) or more or an aggregate of contributions of a single contributor totaling twenty-five dollars (\$25.00) or more for the period of time beginning with the acceptance of the first contribution;
  - (2) The full name and complete mailing address of every business and political committee which contributes twenty-five dollars (\$25.00) or more or an aggregate of contributions from a business and political committee totaling twenty-five dollars (\$25.00) or more for the period of time beginning with the acceptance of the first contribution;
    - (3) The amount and form of every contribution;
  - (4) The aggregate donated by each such contributor in the campaign period. Contributions of less than twenty-five dollars (\$25.00) from any individual or from any business or political committee in any campaign shall be reported as a lump sum without identifying the contributor by name;
    - (5) An itemized record of all disbursements, including the name and address

of every recipient thereof, receipts reflecting the purpose of each expenditure and the day and method of payment; and

- (6) All campaign bank records, including deposit slips and canceled checks.
- B. The campaign treasurer or deputy campaign treasurer shall not accept anonymous donations of twenty-five dollars (\$25.00) or more.
- C. Records required to be kept by the campaign treasurer or deputy campaign treasurer under the terms of paragraph A items (1), (2), (3) and (4) of this subsection, shall be filed with the city clerk as part of the public record, shall be provided to the ethics and campaign review board set forth in Section 6-16, SFCC 1987 upon request and may be inspected by the public during usual business hours, Monday through Friday, excluding legal holidays.
- D. Records kept by the campaign treasurer or deputy campaign treasurer shall be made current not more than seven (7) days after the date of a contribution or of an expenditure. During the eight (8) days immediately preceding the date of any election for which the political committee has received any contributions or made any expenditures, the books of the account shall be kept current within one (1) business day.
- E. The campaign treasurer or deputy campaign treasurer shall preserve books of accounts, bills, receipts and all other financial records of the campaign or political committee for two (2) calendar years following the year in which the transaction occurred.
- F. The campaign treasurer or deputy campaign treasurer shall deposit within five (5) business days after receipt all monetary contributions received by a candidate, political committee, campaign treasurer or deputy campaign treasurer in the campaign depository designated for that purpose.
- G. All contributions received shall be under the custody of the campaign treasurer or deputy campaign treasurer and shall be segregated from, and not be commingled with, the personal funds of an individual, group or political committee. Commencing with the municipal

1 election of 2006, any campaign contributions remaining unspent at the conclusion of an election 2 shall be distributed for the following purposes: 3 Expenditures of the campaign; (1) 4 (2) Expenditures of the public official that are reasonably related to 5 performing the duties of the office held in order to serve constituents; 6 (3) Donations to the city's general fund; 7 (4) Donations to charities; or 8 (5) Disbursements to return unused funds to the contributors. 9 All unspent campaign contributions shall be distributed within six months following a municipal 10 election, for any of the purposes listed in this section. 11 H. A campaign treasurer, deputy campaign treasurer or political committee may 12 invest funds deposited in the campaign account in an account of indebtedness of a financial 13 institution up to the amount of federal deposit insurance; United States bonds or certificates of 14 indebtedness or those of a federal agency; and/or bonds or warrants of the state or any municipal 15 corporation of the state. All interest, dividends, and/or other income derived from the investment 16 and the principal when repaid shall be deposited in the campaign account. 17 Section 11. Section 9-2.10 SFCC 1987 (being Ord. #1998-41, §8, as amended) is 18 amended to read: 19 9-2.10 Campaign Finance Statement Required. 20 Α. In addition to any statement of organization required pursuant to subsection 9-21 2.7A SFCC 1987, each candidate or political committee and their respective campaign treasurer 22 or deputy campaign treasurer shall file a campaign finance statement of all contributions received 23 and expenditures made to date which report shall be current as of the day immediately preceding

the reporting date. Contributions shall be reported as of the date of receipt of cash, checks,

pledges, commitments for in-kind contributions, promissory notes or other money instruments

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The city clerk is authorized to reject any incomplete campaign finance

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statements. The city clerk shall notify the candidate or political committee that the campaign finance statement is incomplete.

- F. Any candidate who withdraws from an election shall be required to file a report at the time of withdrawal.
- G. The city clerk shall assess a fine of one hundred dollars (\$100.00) for unexcused late filing of campaign finance statements.
- Section 12 Section 9-2.11 SFCC 1987 (being Ord. #1998-41, §10, as amended) is amended to read:

### 9-2.11 Campaign Finance Statement; Contents.

- A. Each campaign finance statement filed in accordance with subsection 9-2.10 SFCC 1987 shall disclose for the period beginning at the end of the period for the last report, or in the case of the initial report, at the time of the first contribution or expenditures, and shall contain the following information:
  - (1) The funds on hand at the beginning of the period. This shall include the cumulative total amount of all contributions and expenditures with respect to each election held during the campaign period in which the statement is required to be filed. This includes, but is not limited to, contributions and expenditures in aid of, or in opposition to, candidates or ballot propositions before they qualify for the ballot and contributions and expenditures following the election;
  - (2) The full name and complete mailing address and principal business or occupation of each individual contributor from whom a contribution or contributions of twenty-five dollars (\$25.00) or more in money, goods, materials, services, facilities or anything of value has been received and the amount received from that individual and whether the contribution was received in cash, by check or otherwise;
    - (3) The full name and complete mailing address of each business or political

1	D. Investments made with campaign funds under subsection 9-2.9 SFCC 1987 and
2	interest, dividends and/or other income received shall be reported separately in the statement.
3	Section 13. Section 9-2.13 SFCC 1987 (being Ord. #1998-41, §12, as amended) is
4	amended to read:
5	9-2.13 Campaign Finance Statement; Filing.
6	A. A candidate or political committee is not required to file a campaign finance
7	statement if neither the contributions received nor the expenditures made on behalf of the
8	campaign exceeds five hundred dollars (\$500.00).
9	B. However, at each of the intervals in which a campaign finance statement is
10	required to be filed, such a candidate or political committee shall file with the city clerk a written
11	declaration under oath to the effect that neither the campaign contributions nor the expenditures
12	of the candidate or political committee have exceeded five hundred dollars (\$500.00).
13	C. If contributions received or expenditures made thereafter exceed a sum total of
14	five hundred dollars (\$500.00), including contributions received or expenditures made prior to the
15	twentieth day before the election, the candidate or political committee shall file campaign finance
16	statements as required by subsection 9-2.10 SFCC 1987 of the Campaign Code.
17	D. The city clerk shall encourage electronic filing and require posting of all
18	documents generated pursuant to the Campaign Code in an accessible and searchable format to
19	inform and educate the electorate. Such documents include:
20	(1) Statements of organization of candidates and political committees; and
21	(2) Campaign finance statements or other required disclosures.
22.	Section 14. Section 9-2.15 SFCC 1987 (being Ord. #2005-14) is amended to read:
23	9-2.15 Temporary Political Signs. The following requirements shall apply for
24	political campaign signs:
25	A. No building permit is required.