

AUI INC.

PO BOX 9825

ALBUQUERQUE, NM 87119-9825

NM CONTRACTOR'S LICENSE No. 20617

CLASS: GA98/GB98/GF98/GS29/MM01/MM04/MS03/MS06/ES07

NM RESIDENT CONTRACTOR No. L0991335216

NMDOL REGISTRATION No. 0189272011615

City of Santa Fe

Purchasing Office

200 Lincoln Avenue, Room 122

Santa Fe, NM 87505

Sealed Bid Enclosed:

Wastewater Aeration System

Improvement Project

BID NO. 20/17B

BID DATE: 04/08/2020 TIME: 3:00 PM

BID FORM INSTRUCTIONS

20/17/B

EXCEPTIONS TO SPECIFICATIONS

Bidder is required to return **three (3) complete copies** of these specifications, completely furnishing all information requested. All requested information, data, literature, drawings, etc. must be included with the bid submitted.

Bidder must check one of the following:

- a. All specifications, terms and conditions are met.
- b. Exceptions have been taken and noted on attached sheet (s).

All variations and/or exceptions to the specifications must be documented, referencing applicable paragraph (s) and explained in detail. Attach as many pages as necessary. If no exceptions are taken, it will be assumed that the bid meets all specifications and terms and conditions as stated in this complete bid package. Failure to list exceptions may disqualify bid. Delivery of non-conforming goods is at the expense of the bidder and/or other penalties.

All other specifications not detailed herein shall be as listed in the manufacturer's printed literature for the current standard model. Manufacturer's printed literature and specifications sheets shall be submitted with the bid.

Exceptions will not necessarily eliminate the bid. City staff shall determine acceptance or non-acceptance of exceptions. Unless otherwise noted and approved, it is assumed that delivery of the unit shall be as stated.

Signed submission of this bid represents that the bidder has accepted all terms, conditions and requirements of the bid unless a written exception is made and, if awarded, the bid will represent the agreement between the parties. Additionally, by signing this bid, the bidder warrants that there was no collusion of any kind in submission of this bid.

WARRANTIES

Warranty required for material and workmanship for minimum of two year unless otherwise stated in the bid. Warranties shall begin when the City accepts satisfactory completion of project. The warranty contract shall be solely with the bidder and the bidder shall be responsible for ensuring all warranty work is satisfactorily completed on any component of the unit. All details of warranties shall be included with the bid.

State name, address and phone number of nearest authorized maintenance representative(s) (List on separate page, if needed):

AUI Inc. (505) 242-4848

7420 Reading Avenue SE, Albuquerque, NM 87105-7823

DELIVERY (this does not seem to apply to a construction contract)

Bids shall include all costs of delivery to the City of Santa Fe, the specific location to be as designated by City staff. Unit shall be completely operational and ready for use.

Date of delivery after notice to proceed:

481

451 Calendar Working Days

*Revised per Addendum 2

~~Bidder SHALL INCLUDE descriptive material such as plans, drawings, photographs, diagrams, illustrations, written descriptions and manufacturer's literature with specifications with the bid. Additional information or details may be required after the bid opening. Bids may be disqualified if such information is not adequate to make a reasonably informed decision as to qualify, design, capabilities, etc.~~

Provide the form's Experience Modification Rate (EMR) for each of the past three years and your firm's OSHA ratings (Recordable Incidence Rates and Lost Workday Incident Rates) for the past three years.

The City reserves the right to alter quantities based on availability of budget. If this will alter the bid amount, the bidder must note the percent increase for lesser quantities.

BIDDER INFORMATION:

AUI Inc.
Firm
PO Box 9825, Albuquerque, NM 87119-9825
Address

Authorized Signature
Darrin Howells
Print Name
President/CEO
Position

505-242-4848
Phone Number

505-242-9050
Fax Number

April 8, 2020
DATE

THREE (3) COMPLETE COPIES OF THE BID SUBMITTAL IS REQUIRED

BID SUBMITTAL:

NAME AUI Inc.

ADDRESS PO Box 9825, Albuquerque, NM 87119-9825

To the City of Santa Fe, State of New Mexico, and Owner:

The undersigned proposes to furnish and deliver all the material and labor, and to do all the work required in the construction of CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements for the City of Santa Fe, in Santa Fe County, State of New Mexico, according to the plans and specifications therefore and at the prices named and shown on the Bid Form.

The undersigned declares that the only person or parties interested in the bid submittal as principals are those named herein; that the bid submittal is made without collusion with any person, firm or corporation; that he/she has carefully examined the specifications, including special provisions, if any, and that he/she has made a personal examination of the site of the work, that he/she is to furnish all the necessary machinery, tools, apparatus and other means of construction and do all the work and furnish all the materials specified in the manner and the time prescribed; that he/she understands that the quantities are approximate only and subject to increase or decrease, and that he/she is willing to perform any increased or decreased quantities of work at unit price bid.

The undersigned hereby agrees to execute and deliver the Construction Agreement and required bonds within ten (10) days, or such further time as may be allowed in

writing by the City of Santa Fe after receiving notification of the acceptance of this bid submittal, and it is hereby mutually understood and agreed that in case we do not, we forfeit the accompanying check or bid bond to the City of Santa Fe as liquidated damages, and the said City of Santa Fe may proceed to award the contract to others.

We hereby agree to commence the work within ten (10) days, or such further time as may be allowed in writing by the City of Santa Fe after notification to proceed, and to complete all the work within the time allowed by the construction agreement.

Substantial completion of the work shall mean complete and ready for acceptance and use of all work related to the drainage improvement described in the contract documents.

The undersigned proposes to furnish Labor and Material Payment Bond and Performance Bond in the amount of 100% of the Contract amount each as surety conditioned for the full complete and faithful performance of this contract, and to indemnify and save harmless the City of Santa Fe from any damage or loss of which the City of Santa Fe may become liable by the default of said Contractor, or by reason of any neglect or carelessness on the part of said Contractor, his/her agents or employees, or by or on account of any act or omission of said Contractor, his/her servants, agents or employees, in performance of this contract.

1. The undersigned proposes to guarantee all work performed under these Plans, Specifications, and Contract for two years from the final completion acceptance date. The undersigned will be notified prior to the termination of the two-year warranty period if any repairs or maintenance is needed and shall be completed before the warranty period ends.
2. The undersigned tenders herewith, as a bid guaranty, for which receipt has been given, a certified check or bid bond in the amount of _____
Bid Bond in 5% of Bid Amount dollars \$ _____ drawn to
the order of the City of Santa Fe.



Signature-Title Darrin Howells, President/CEO

AUI Inc.
Corporate Name

PO Box 9825, Albuquerque, NM 87119-9825
Address

CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements

(Names of individual members of firms or names and titles of all officers of Corporation.)

Darrin Howells, President/CEO

Patrick Shaw, SVP Operations

Sam Baca, SVP Business Development

Corporation organized under the laws of the State of

New Mexico

20617: GA98, GB98, GF98, GS29, MM01, MM04, MS03,MS06, ES07
N.M. Contractor's License No. & Type

NM Dept. of Workforce Solutions, Public Works Bureau
Labor Enforcement Fund Registration Number: 0189272011615

[A Subcontractor NM Dept. of Workforce Solutions, Public Works Bureau Enforcement Labor Fund Registration Number, on work over \$60,000 must be listed on Subcontractor listing.]

BID FORM

CITY OF SANTA FE
 CONTRACTING AGENCY AND OWNER

FROM: AUI Inc.
PO Box 9825 hereinafter called "Bidder".

TO: City of Santa Fe
 200 Lincoln Avenue
 P.O. Box 909
 Santa Fe, New Mexico 87504

hereinafter called "CONTRACTING AGENCY",

Bid For: **CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements**


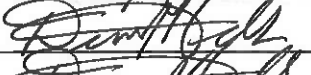

Bid No. '20/17/B

1. The bidders have familiarized themselves with the existing conditions on the project area affecting the cost of the work and with the contract documents which includes:
 - Advertisement for Bids
 - Instructions For Bidders
 - Bid Submittal and other required bid forms as listed herein
 - Agreement 481
 - Contract time of **451 calendar days** *Revised per Addendum 2
 - Project Schedule and Milestones
 - Form of Performance Bond
 - Form of Labor and Material Payment Bond
 - Technical Specifications
 - Everything else included in the Project Manual and the Drawings.

Therefore, the Bidder hereby proposes to furnish all supervision, technical personnel, labor, materials, tools, appurtenances, equipment, and services (including all utility and transportation services) required to construct and complete the improvements, all in accordance with the above listed documents.

Bidder agrees to perform all of the improvements described in the specifications and shown on the plans for the following unit prices. Amounts are to be shown in both words and figures. In case of discrepancy, the amount shown in words shall govern.

All Addenda pertaining to this project, shall be acknowledged by the Bidder in the spaces provided below:

Addendum No.	Addendum Date	Acknowledgement by Bidder or Authorized Representative	Date Acknowledged
1	February 26, 2020		February 26, 2020
2	March 11, 2020		March 11, 2020
3	March 19, 2020		March 19, 2020

CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements

Failure to acknowledge receipt, as provided above, may be considered sufficient grounds for disqualification of the bidder and rejection of his/her bid submittal.

Any and all such Addenda, if issued, will be published via electronic/digital format on the web site of the City of Santa Fe, not later than three days prior to the date fixed for the opening of the bids, at the following web address:

http://www.santafenm.gov/bids_rfps

It shall be the bidder's responsibility to become fully advised of all Addenda prior to submitting his/her bid.

2. The Bidder agrees to commence work under this Contract within ten (10) days after a date to be specified in a written "Notice to Proceed" from the City of Santa Fe or its authorized agents, and fully complete the project within the time provided in the contract documents. Bidder further agrees to pay liquidated damages as provided in the Contract Documents.

3. Security in the sum of five (5) percent of the amount bid in the form of (check one):
 Bid Bond Certified Check

is attached hereto in accordance with the "Instructions for Bidders".

4. **This Bid Submittal contains the following:**

- Bid - Name the Bidder and the Number of Bidder's New Mexico Contractor's License with a check for proper signatures.
- Non-Collusion Affidavit of Prime Bidder
- Certification of Non-Segregated Facilities,
- Certification of Bidder regarding Equal Employment Opportunity
- Bid Bond
- Acknowledgement of Addenda, if any.
- Properly executed Bid Form
- Subcontractor's Listing (as applicable)
- Provide the firm's Experience Modification Rate (EMR) for each of the past three years and your firm's OSHA ratings (Recordable Incidence Rates and Lost Workday Incident Rates) for the past three years

THREE (3) COPIES OF THE BID SUBMITTAL ARE REQUIRED

Respectfully submitted:

Name of Bidder AUI Inc.

By: 
(Signature)

Title: Darrin Howells, President/CEO

Date: April 8, 2020

Official Address: PO Box 9825

Albuquerque, NM 87119-9825

Telephone No. 505-242-4848

CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements

New Mexico Contractor's License Number and Types: 20617 : GA98, GB98, GF98, GS29, MM01, MM04, MS03, MS06, ES07

United States Treasury Number: 85-0341049

BID FORM

CIP #957Paseo Real Wastewater Aeration Improvement Project

Bidder will complete the work in accordance with the Contract Documents for the following prices (All amounts shown are lump sum, complete in place, excluding NMGR):

* See Revised Bid Form Per Add #3

ITEM No.	DESCRIPTION	QUANTITY	UNIT	PRICE (Excluding NMGR)
1	MOBILIZATION	1	LS	\$
2	DEMOBILIZATION	1	LS	\$
3	BLOWER BUILDING ROOF REPLACEMENT ALLOWANCE	1,600 ft ²	\$ _____ /ft ²	\$
4	AERATION BASINS CLEANING ALLOWANCE	365 yd ³	\$ _____ /yd ³	\$
5	UNKNOWN SUBSURFACE CONDITIONS ALLOWANCE	1	LS	\$ 25,000
6	MATERIAL TESTING ALLOWANCE	1	LS	\$ 20,000
7	SCUM PIPING CLEANING ALLOWANCE	350	\$ _____ /lf	\$
8	UTILITY RELOCATION ALLOWANCE	1	LS	\$ 50,000
9	ELECTRICAL CABLE AND CONDUIT ALLOWANCE	1	LS	\$ 70,000
10	LUMP SUM FOR BALANCE OF ALL WORK NOT INCLUDED IN ITEMS 1-9	1	LS	\$
BASE BID PLUS ALLOWANCES: BID ITEMS 1 – 10:				\$
New Mexico Gross Receipts Tax (NMGR) @8.4375%				\$
TOTAL BASE BID AMOUNT PLUS ALLOWANCES AND NMGR:				

TOTAL BASE BID AMOUNT PLUS ALLOWANCES AND NMGR (IN WORDS):

THE SUM OF _____

----- DOLLARS AND ----- CENTS.

BID BOND

A. KNOW ALL MEN BY THESE PRESENTS, THAT WE _____

AUI Inc. hereinafter called the PRINCIPAL, as Principal, and the
Western Surety Company, of Chicago, Illinois a

Corporation duly organized under the laws of the State of South Dakota, and authorized to do business in the State of New Mexico, hereinafter called the SURETY, as SURETY are held and firmly bound unto the City of Santa Fe, a Municipal Corporation, hereinafter called the OBLIGEE, in the sum of

Five Percent (5%) of Amount Bid

dollars (~~\$-----5%-----~~) for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted the accompanying bid, dated April 8, 2020 (Bid No. '20/17/B) for the construction of **CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements**. Santa Fe, NM.

B. NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof or in the event of the failure of the Principal to enter such contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

C. SIGNED AND SEALED THIS 25th DAY OF March, a.d. 2020.



AUI Inc. BIDDER

By: [Signature]
PRINCIPAL Darrin Howells, President/CEO

[Signature] WITNESS
Juana Rodriguez, Bid Coordinator

By: [Signature]
SURETY

Title: Michael T. Byrd, Attorney-In-Fact

[Signature]
WITNESS

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

David C Mitchie, Thomas M Padilla, Michael T Byrd, Susan D Martin, Individually

of Albuquerque, NM, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 14th day of January, 2019.

WESTERN SURETY COMPANY



Paul T. Brufat

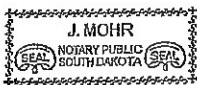
Paul T. Brufat, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 14th day of January, 2019, before me personally came Paul T. Brufat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

June 23, 2021



J. Mohr

J. Mohr, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 25th day of March, 2020

WESTERN SURETY COMPANY



L. Nelson

L. Nelson, Assistant Secretary

Authorizing By-Law

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT OPPORTUNITY

INSTRUCTIONS

This certification is required pursuant to Executive Order 11246 (30 F. R. 12319-25). The implementing rules and regulations provide that any bidder or perspective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract or subcontract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven calendar days after bid opening. No contract shall be awarded unless such report is submitted.

CERTIFICATION OF BIDDER

Bidder's Name: AUI Inc.

Address: PO Box 9825

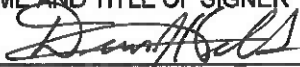
Albuquerque, NM 87119-9825

1. Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause. Yes No
2. Compliance reports were required to be filed in connection with such contractor subcontract. Yes No

Certification - The information above is true and complete to the best of my knowledge and belief.

Darrin Howells, President/CEO

NAME AND TITLE OF SIGNER (please type or print)



SIGNATURE

April 8, 2020

DATE

CERTIFICATION OF NON-SEGREGATED FACILITIES

(Applicable to construction contracts and related subcontracts exceeding \$10,000, which are not exempt from the Equal Opportunity Clause).

The construction contractor certifies that he/she does not maintain or provide for his/her employees any segregated facilities at any of his/her establishments, and that he/she does not permit his/her employees to perform their services at any location, under his/her control, where segregated facilities are maintained. The construction contractor certifies further that he/she will not maintain or provide for his/her employees any segregated facilities at any of his/her establishments, and that he/she will not permit his/her employees to perform their services at any location, under his/her control, where segregated facilities are maintained. The construction contractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this contract. As used in this certification, the term "segregated facilities" means any waiting room, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clock, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreating or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. The construction contractor agrees that (except where he/she has obtained identical certifications from proposed subcontractors for specific time periods) he/she will obtain identical certifications from proposed **SUBCONTRACTORS** prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause and that he/she will retain such certifications in his/her files.

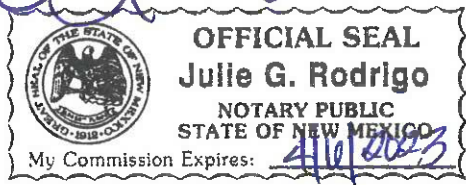
SIGNED: 

TITLE: Darrin Howells, President/CEO

SUBSCRIBED AND SWORN to before me this 8 day of April, 2020.

 NOTARY PUBLIC
Julie G. Rodrigo

My Commission Expires:
April 6, 2023



NON-COLLUSION AFFIDAVIT OF PRIME BIDDER

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Darrin Howells being first duly sworn, deposes and says that:

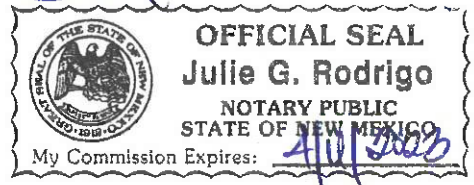
- (1) He/she is the President/CEO of AUI Inc. the Bidder that has submitted the attached Bid Submittal;
- (2) He/she is fully informed respecting the preparation and contents of the attached Bid Submittal and of all pertinent circumstances respecting such bid;
- (3) Such bid is genuine and is not a collusive or sham bid;
- (4) Neither the said Bidder nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and
- (5) The price or prices quoted in the attached bid are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) *Darrin Howells*
 TITLE Darrin Howells, President/CEO

SUBSCRIBED AND SWORN to before me this 8th day of April, 2020

Julie G. Rodrigo NOTARY PUBLIC
 Julie G. Rodrigo

My Commission Expires:
April 6, 2023



NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Joaquin Romero being first duly sworn, deposes and says that:

(1) He/she is the Operations Manager of Atlas Pumping hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc. the Contractor, for certain work in connection with the hydroexcavation contract pertaining to the

Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractors bid submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) [Signature]

TITLE Operations Manager

SUBSCRIBED AND SWORN to before me this 7th day of April, 2020

[Signature]
NOTARY PUBLIC

My Commission Expires:



NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Christina Wimberly being first duly sworn, deposes and says that:

(1) He/she is the Secretary of Albuquerque Insulation, hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc., the Contractor, for certain work in connection with the Mechanical Insulation contract pertaining to the Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractors bid submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) [Signature]

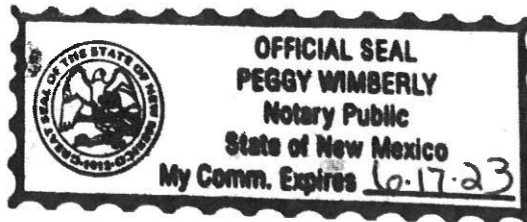
TITLE Secretary

SUBSCRIBED AND SWORN to before me this 24 day of March, 2020

[Signature]
NOTARY PUBLIC

My Commission Expires:

6-17-23



NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Randall Dupuy being first duly sworn, deposes and says that:

(1) He is the Vice President of McDade-Woodcock, Inc. hereinafter referred to as the "Subcontractor";

(2) He is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc., the Contractor, for certain work in connection with the Electrical and I&C contract pertaining to the

Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractors bid submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) Randall Dupuy

TITLE Vice President

SUBSCRIBED AND SWORN to before me this 20 day of March, 2020

Sharon Pedroncelli
NOTARY PUBLIC

My Commission Expires:

2/28/2021



OFFICIAL SEAL
SHARON PEDRONCELLI
NOTARY PUBLIC - State of New Mexico
My Commission Expires 2/28/2021

NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Lauren Davis being first duly sworn, deposes and says that:

(1) He/she is the VICE PRESIDENT of RAM JACK OF NEW MEXICO hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc., the Contractor, for certain work in connection with the soil nails contract pertaining to the

Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractors bid-submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

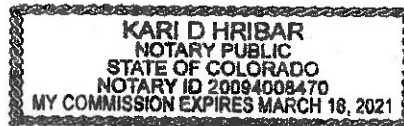
(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) Lauren Davis
TITLE VP

SUBSCRIBED AND SWORN to before me this 25 day of March, 2020

Kari D Hribar
NOTARY PUBLIC

My Commission Expires:
March 18, 2021



NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Phil Chynoweth being first duly sworn, deposes and says that:

(1) He/she is the President of Bogan Brothers Painting, hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc., the Contractor, for certain work in connection with the Painting contract pertaining to the

Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractors bid submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

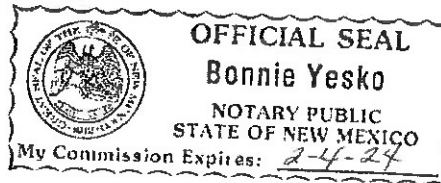
(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) Phil Chynoweth
TITLE President

SUBSCRIBED AND SWORN to before me this 2 day of April, 2020

Bonnie Yesko
NOTARY PUBLIC

My Commission Expires:
2-4-2024



NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Chip Martin being first duly sworn, deposes and says that:

(1) He/she is the CEO of Inspections Plus, LLC hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc. the Contractor, for certain work in connection with the SWPPP contract pertaining to the Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractors bid submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) [Signature]

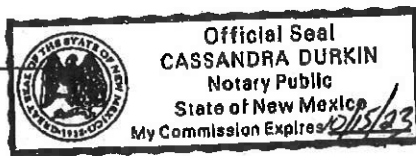
TITLE CEO

SUBSCRIBED AND SWORN to before me this 7 day of April, 2020

[Signature]
NOTARY PUBLIC

My Commission Expires:

10/15/2023



NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

CHRISTIAN HASSE being first duly sworn, deposes and says that:

(1) He/she is the VP-ESTIMATING of HASSE CONTRACTING hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc., the Contractor, for certain work in connection with the EPOXY INJECTION contract pertaining to the

Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractors bid submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

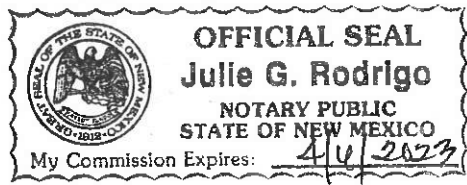
(SIGNED) [Signature]

TITLE VP-ESTIMATING

SUBSCRIBED AND SWORN to before me this 8th day of April, 2020

[Signature]
NOTARY PUBLIC

My Commission Expires:
April 12, 2023



SUBCONTRACTS

- A. The Contractor shall not execute an agreement with any subcontractor or permit any subcontractor to perform any work included in this contract until he/she has submitted a Non-Collusion Affidavit from the subcontractor, in substantially the form shown above, and has received written approval of such subcontractor from the City of Santa Fe.
- B. No proposed subcontractor shall be disapproved by the City of Santa Fe except for cause.
- C. The Contractor shall be as fully responsible to the City of Santa Fe for the acts and omissions of his/her subcontractors and of persons either directly or indirectly employed by them, as he/she is for the acts and omissions of persons directly employed by him/her.
- D. The Contractor shall cause appropriate provision to be inserted in all subcontracts relative to the work to require compliance by each subcontractor with the applicable provisions of the Contract for the improvements embraced.
- E. Nothing contained in the Contract shall create any contractual relation between any subcontractor and the City of Santa Fe.

SUBCONTRACTOR LISTING

Note: A Contractor that Submits a bid valued at more than sixty thousand dollars (\$60,000) for a city project that is subject to the public works minimum wage act 13-4-10 NMSA 1978, shall be registered with the NM Dept. of Workforce Solutions, Public Works Bureau.

Trade: Hydroexcavation		Name of Subcontractor: Atlas Pumping Services	
Address: 200 Sin Nombre Court NE, Albuquerque, NM 87113			
Telephone No.: 505-898-3936		License No.: 51797	NM Dept. of Workforce Solutions Regist. No.: 02894520130507
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Bypass Pumping		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:		License No.:	NM Dept. of Workforce Solutions Regist. No.:
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Pipe Insulation		Name of Subcontractor: Albuquerque Insulation Company	
Address: PO Box 25871, Albuquerque, NM 87125			
Telephone No.: 505-243-6398		License No.: 90457	NM Dept. of Workforce Solutions Regist. No.: 0051220050614
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Electrical & Controls		Name of Subcontractor: McDade-Woodcock Inc.	
Address: 2404 Claremont Avenue NE, Albuquerque, NM 87107			
Telephone No.: 505-884-0155		License No.: 17754	NM Dept. of Workforce Solutions Regist. No.: 0188122011604
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Soil Nails (One Quote Rec'd)		Name of Subcontractor: Ram Jack of New Mexico	
Address: 4950 Jefferson Street NE, Albuquerque, NM 87109			
Telephone No.: 505-771-8890		License No.: 353976	NM Dept. of Workforce Solutions Regist. No.: 002389820120127
Signature of Subcontractor (to be obtained after award of contract):			

SUBCONTRACTOR LISTING

Note: A Contractor that Submits a bid valued at more than sixty thousand dollars (\$60,000) for a city project that is subject to the public works minimum wage act 13-4-10 NMSA 1978, shall be registered with the NM Dept. of Workforce Solutions, Public Works Bureau.

Trade: Coating/Painting		Name of Subcontractor: Bogan Brothers Painting Co.	
Address: 3435 Vassar Drive NE, Albuquerque, NM 87107			
Telephone No.: 505-898-8000		License No.: n/a	NM Dept. of Workforce Solutions Regist. No.: 0100272009073
Signature of Subcontractor (to be obtained after award of contract):			

Trade: SWPPP		Name of Subcontractor: Inspections Plus	
Address: 504 El Paraiso Road NE, Ste B, Albuquerque, NM 87113			
Telephone No.: 505-344-9410		License No.: n/a	NM Dept. of Workforce Solutions Regist. No.: 23719322522019
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Epoxy Injection		Name of Subcontractor: Hasse Contracting	
Address: 3327 Tower Road, Albuquerque, NM 87121			
Telephone No.: 505-242-9226		License No.: 12959	NM Dept. of Workforce Solutions Regist. No.: 001262005020
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Sludge Cleaning		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:		License No.:	NM Dept. of Workforce Solutions Regist. No.:
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Metal & Grating Installation		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:		License No.:	NM Dept. of Workforce Solutions Regist. No.:
Signature of Subcontractor (to be obtained after award of contract):			

SUBCONTRACTOR LISTING

Note: A Contractor that Submits a bid valued at more than sixty thousand dollars (\$60,000) for a city project that is subject to the public works minimum wage act 13-4-10 NMSA 1978, shall be registered with the NM Dept. of Workforce Solutions, Public Works Bureau.

Trade: Concrete Work		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Shoring Installation		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Pipe Installation		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Sludge Cleaning		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Metal & Grating Installation		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			

SUBCONTRACTOR LISTING

Note: A Contractor that Submits a bid valued at more than sixty thousand dollars (\$60,000) for a city project that is subject to the public works minimum wage act 13-4-10 NMSA 1978, shall be registered with the NM Dept. of Workforce Solutions, Public Works Bureau.

Trade: Earthwork		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Paving		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Demo/Removals		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			

Trade: Equipment Installation		Name of Subcontractor: No Quotes Received	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			

Trade:		Name of Subcontractor:	
Address:			
Telephone No.:	License No.:	NM Dept. of Workforce Solutions Regist. No.:	
Signature of Subcontractor (to be obtained after award of contract):			



EMR
OSHA Ratings

Provide Experience Modification Rate (EMR) for the past three years
OSHA ratings (Recordable Incidence Rates and Lost Workday Incident Rates) for the past three years

Workers' compensation Experience Modification Rate (EMR) for the last three years:

Year 2019	EMR 0.70
Year 2018	EMR 0.72
Year 2017	EMR 0.80

OSHA Recordable Incident Rate for the last three years:

Year 2019	ORIR 2.53
Year 2018	ORIR 2.58
Year 2017	ORIR 2.53

OSHA Lost Time Incident Rate for the last three years:

Year 2019	LTIR 0.42
Year 2018	LTIR 0.368
Year 2017	LTIR 0.632

AUTHORIZATION FOR CORPORATE OFFICERS AND DIRECTORS OF AUI INC.

The Board of Directors authorized the following Corporate Officers to transact business for the company and to enter into legal binding contracts. Authorization includes Albuquerque Underground, Inc. the company's registered and/or licensed name for the states of California, Florida, Georgia, Nebraska, Nevada, Oklahoma, Utah and Wyoming. This is effective for one year beginning February 28, 2019 or until changed by the Board of Directors.

President/CEO
Sr. Vice President/COO
Sr. Vice President/CDO
Vice President
Vice President
Secretary
Treasurer

Darrin Howells
Patrick Shaw
Sam Baca
Kari Biernacki
Steve Drennan
Michelle Lontok
Michelle Lontok

STOCKHOLDERS



Darrin Howells



Patrick Shaw



Sam Baca

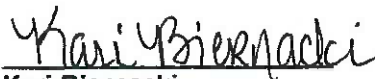
DIRECTORS



Darrin Howells



Sam Baca



Kari Biernacki



Patrick Shaw



Steve Drennan



Michelle Lontok

STATE OF NEW MEXICO

CONSTRUCTION INDUSTRIES DIVISION

AUI, INC.

LICENSE NUMBER

20617

Qualifying Party(S)

TRIOLO ADAM G.

TRIOLO ADAM

EXPIRES

09/30/2020

CLASSIFICATION(S)

ES07, GA98, GB-98, GF98

GS29, MM01, MM04, MS03

MS06



[Signature]
DIRECTOR

This card is the property of the CID and shall be surrendered upon demand

Certificate of Contractor Registration



This is to certify that

AUI Inc

7420 READING AVE SE

ALBUQUERQUE, NM, 87105-7823

has registered with the Department of Workforce Solutions

Registration Date: 5/16/2016 Registration Number: 0189272011615

**This certificate does not show the current status of the company.
To see the current status for this company please go to the Public Works
and Apprenticeship Application (PWAA) at
<https://www.dws.state.nm.us/pwaa>**

STATE OF NEW MEXICO

TAXATION AND REVENUE DEPARTMENT

RESIDENT CONTRACTOR CERTIFICATE

Issued to: AUI, INC.

DBA: AUI, INC.

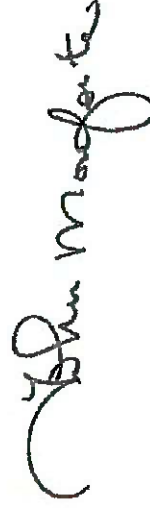
PO BOX 9825

ALBUQUERQUE, NM 87119-9825

Expires: **15-Nov-2020**

Certificate Number:

L0991335216



John Monforte, Acting Cabinet Secretary

THIS CERTIFICATE IS NOT TRANSFERABLE